

FIN DIM LIE + ASS ALGEBRAS

1 INTRODUCTION

Dfn 1.1: A Lie Algebra is a K -vector space and a bilinear operation $[\cdot, \cdot]: L \times L \rightarrow L$ satisfying

- (1) $[x, x] = 0 \quad \forall x \in L$
- (2) Jacobi identity: $[x, [y, z]] + [y, [z, x]] + [z, [x, y]] = 0$

Dfn 1.2 (a) A Lie subalgebra J of L is a K -subspace of L s.t $\forall x, y \in J, [x, y] \in J$.

(b) a (lie) ideal J of L is a K -subspace s.t $[x, y] \in J \quad \forall x, y \in L$.
note: dfn is symmetric actually in x and y .

Dfn 1.3 (a) L is semisimple if $R(L) = 0$ and in general, $L/R(L)$ is semisimple.

(b) L is simple $\Leftrightarrow$ the only ideals are 0 and L .

also $[L, L] \neq 0$, to avoid 1-dimensional case.

2 LIE ALGEBRAS

Dfn (associative K -algebra) ring with 1 and $\phi: K \rightarrow R$ a ring homomorphism $1 \mapsto 1$ and want that $\phi(K) \subseteq Z(R)$ (centre of R)

Then R is a Lie Algebra via $[r, s] = rs - sr$.

$gl_n \cong M_n(K) =$ Lie alg. assoc. $\leftrightarrow GL_n(K)$

(1) matrices of trace $= 0 =: \mathfrak{sl}_n$ is associated with $SL_n = \{n \times n \text{ matrices w/ determinant } 1\}$ $gl_n \cong M_n(K)$

e.g. $\mathfrak{so}_2$ standard notation: $e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, f = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, u = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$
note that $[e, f] = h, [h, e] = 2e, [h, f] = -2f$

(2) $\mathfrak{so}_n =$ skew symmetric $n \times n$ matrices, associated with Special orthogonal group SO_n .

e.g. $n=3, \mathfrak{so}_3: A_1 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, A_2 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, A_3 = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$

Then $[A_1, A_2] = A_3, [A_2, A_3] = A_1, [A_3, A_1] = A_2$.

(3) $\mathfrak{sp}_{2n} =$ contains matrices associated with symplectic group Sp_{2n} of matrices that preserve a non-degenerate, skew-symmetric product on K^{2n} .

e.g. let's say the skew-symmetric form is represented by $J = \begin{pmatrix} 0 & 1 & & \\ & 0 & \ddots & \\ & & \ddots & 1 \\ -1 & & & 0 \end{pmatrix}_{2n \times 2n}$

Then $\mathfrak{sp}_{2n}$ consists of matrices X s.t. $XJ + JX^t = 0$

(alternative formulation: take $J = \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix}$)

these matrices are of form $\begin{pmatrix} A & B \\ C & -A^t \end{pmatrix}$, B and C symmetric.

dimension of $\mathfrak{sp}_{2n}$ is $2n^2 + n$

For alternative J you get $\begin{pmatrix} A & B \\ C & A^t \end{pmatrix}$, B and C skew-symmetric.

(4) $\mathfrak{b}_n$ Borel subalgebra of gl_n of upper triangular matrices associated with the Borel subgroup of GL_n consisting of invertible upper triangular matrices.

(5) $\mathfrak{n}_n$ consists of strictly upper triangular matrices associated with the group of upper triangular matrices with 1's on the diagonal.
($\mathfrak{n}$ denotes nilpotent).

$\text{End}_K(R) := K$ -linear maps $R \rightarrow R$. Lie Algebra w/ $[f, g] = f \circ g - g \circ f$

(ring product)

Dfn 2.1 a linear map $D: R \rightarrow R$ is a derivation if $D(rs) = D(r)s + rD(s)$

$\text{Der}(R) = \{ \text{derivations } R \rightarrow R \}$ forms a Lie subalgebra of $\text{End}_K(R)$

when $R=L$, $\cdot$ is $[\cdot, \cdot]$ so derivation: $D([r, s]) = [D(r), s] + [r, D(s)]$

Dfn 2.2 An inner derivation of R is of the form $R \rightarrow R, s \mapsto [r, s]$ for some $r \in R$.

$\text{Innder}(R) = \{ \text{inner derivations } s \}$ forms a Lie ideal in $\text{Der}(R)$.

R commutative: $[x, y] = xy - yx = 0 \Rightarrow \text{Innder}(R) = 0$.

Dfn 2.3 (a) A Lie algebra homomorphism $\rho: L_1 \rightarrow L_2$ is a K -linear map satisfying

$$\rho([x, y]) = [\rho(x), \rho(y)]$$

(b) lin rep. of L is a L -A. homo: $\rho: L \rightarrow \text{End}(V)$ for some V .
 $U \subseteq V$ and $\rho(L)(U) \subseteq U$, then $\rho|_U: L \rightarrow \text{End}(U)$ is called a subrepresentation.

(c) irreducible repn: only such U are 0 and V .

adjoint repn: $\text{ad}_L: L \rightarrow \text{End}(L); x \mapsto \text{ad}(x): L \rightarrow L; y \mapsto [x, y] (x \mapsto [x, \cdot])$

Dfn 2.4: The centre of $L = \{x: [x, y] = 0 \quad \forall y \in L\} = \text{Ker}(\text{ad}_L)$

Rem: L simple Lie Algebra $\Leftrightarrow \text{ad}(L)$ is irreducible

Dfn 2.8: An Abelian Lie algebra L if $[x, y] = 0 \quad \forall x, y \in L$.

Dfn 2.9: The derived series of L $L^{(0)} = L, L^{(1)} = [L, L] = \text{span}\{[x, y]: x, y \in L\}$
 $L^{(i)} = [L^{(i-1)}, L^{(i-1)}] \quad i \geq 2$.
derived subalgebra

Dfn 2.10: L is Soluble if $L^{(r)} = 0$. Least $r :=$ "derived length".

Rem: $L^{(1)}$ = ideal of L .

J ideal, then L/J Lie Alg. with bracket $[x+J, y+J] = [x, y] + J$

Lemma 2.11 (1) Subalgebras and quotient of soluble lie algebras are soluble
(2) If J is an ideal of L , then L is soluble $\Leftrightarrow J$ and L/J are soluble

Ex: Any 2-dim. Lie Alg is soluble

Ex: $\mathfrak{so}_3$ is not soluble!

Lemma 2.12: The sum of two soluble ideals is a soluble ideal.

Dfn 2.13: Radical $R(L)$ of F.D.L.A. L is the max. soluble ideal in L
 $= \sum$ all soluble ideals.

Semisimple $\Leftrightarrow R(L) = 0$.

Thm 2.14 (Levi). If $\text{char } K = 0$ and L is finite dimensional, then there exists a Lie subalgebra L_1 such that $L_1 \cap R(L) = 0$, and $L = L_1 + R(L)$

Hence $L_1 \cong L/R(L)$ is semisimple.

Dfn 2.15: This is the Levi decomposition, and L_1 is the Levi factor (/subalgebra).

Dfn 2.16: The lower central series of L is $L_{(1)} = L, L_{(i+1)} = [L_{(i)}, L]$

Note: (1) $L_{(i)}$ are ideals of L

(2) Counting starts at 1.

L is nilpotent if $L_{(c)} = 0, C :=$ nilpotency class

Proposition (page 12 of Humphreys): Let L be a Lie Algebra.

- a) if L is nilpotent, then so are all subalgebras and homomorphic images of L
- b) if $L/Z(L)$ is nilpotent, then so is L .
- c) if L is nilpotent and nonzero, then $Z(L) \neq 0$.

Thm 2.18: (Lie) For algebraically closed K , $\text{char } K = 0$. Suppose $L \subseteq \text{End}(V)$ with $\dim V < \infty$. Suppose L is soluble. Then $\exists v \in V, v \neq 0$, such that $\alpha(v) = \lambda v$ for all $\alpha \in L$. (v is a common eigenvector $\forall \alpha \in L$)

Thm 2.19: (Engel) Suppose $L \subseteq \text{End}(V)$ is a Lie subalgebra, $\dim V < \infty$, and every element of L is a nilpotent endomorphism (i.e. $\forall \alpha \in L, \exists n \in \mathbb{N}$ s.t $\alpha^n = 0$). Then $\exists v \neq 0, v \in V$ such that $\alpha(v) = 0 \quad \forall \alpha \in L$.

Corollary: $\text{ad}(L)$ is then a nilpotent Lie algebra, $\Rightarrow L$ is nilpotent

$(\text{ad}(L))_{cc} = \text{ad}(L_{cc})$ by induction + ad is a L -A. homo.

L soluble $\Rightarrow \exists$ basis of V wrt which L is represented by upper triangular matrices: $L \subseteq \mathfrak{b}_n$

$\Rightarrow L^{(1)} \subseteq \mathfrak{n}_n =$ strictly upper triangular matrices.

$\forall: L^{(m)}$ lies in $L_{(2^m)} \quad \forall$ positive m for a Lie Algebra L .

Nilpotent Lie Algebras are soluble

Semisimple $\Rightarrow L \cong \text{ad}(L)$

3 INVARIANT FORMS + CARTAN - KILLING CRITERION.

Dfn 3.1: A symmetric bilinear form $\langle \cdot, \cdot \rangle : L \times L \rightarrow K$ is **invariant** if $\langle [x, y], z \rangle = \langle x, [y, z] \rangle$.

Dfn 3.2: (a) if $\rho: L \rightarrow \text{End}(V)$ with $\dim V < \infty$ is a representation, then

is the **trace form** of ρ . $\langle x, y \rangle_\rho = \text{Tr}(\rho(x)\rho(y))$

(b) The trace form of the adjoint representation (when $\dim L < \infty$) is the **Killing form**.

Lemma 3.3: (i) trace forms are invariant symmetric bilinear forms.

(ii) If J is an ideal, then $J^\perp = \{x : \langle x, y \rangle = 0 \ \forall y \in J\}$ and for an invariant form $\langle \cdot, \cdot \rangle$, then $J^\perp$ is an ideal. In particular, $L^\perp$ is an ideal of L .

Thm 3.4 (Cartan's criterion for solubility). Let $\text{char} K = 0$, and L be a Lie subalgebra of $\text{End}(V)$, $\dim V < \infty$. Let $\langle \cdot, \cdot \rangle$ be trace form of the embedding $\rho: L \rightarrow \text{End}(V)$. Then L is soluble $\Leftrightarrow \langle x, y \rangle_\rho = 0 \ \forall x, y \in L$.

Thm 3.5 (Cartan-Killing criterion for semisimplicity). Let $\text{char} K = 0$. Then L is semisimple $\Leftrightarrow$ The Killing form $\langle \cdot, \cdot \rangle_{\text{ad}}$ is non-degenerate.

Dfn 3.6: A **derivation** of a Lie algebra L is a linear map $L \rightarrow L$ such that

$$D([x, y]) = [Dx, y] + [x, Dy].$$

Inner derivations are of the form $y \mapsto [x, y]$.

$$\{\text{Inner derivations}\} = \text{ad}(L)$$

Thm 3.7 If $\text{char} K = 0$ and $\dim L < \infty$, and L is semisimple, then $\text{Der}(L) = \text{ad}(L)$.

Dfn 3.8: $x \in \text{End}(V)$ is **semisimple** $\Leftrightarrow$ it is **diagonalisable**.

$\Leftrightarrow$ minimal polynomial is a product of distinct linear factors.

Rem: 1) if x is semisimple, $x(W) \subseteq W$ for a subspace $W \subseteq V$, then $x|_W: W \rightarrow W$ is semisimple
2) if x, y semisimple and $xy = yx$, then x, y are simultaneously diagonalisable, and $x+y$ is also semisimple.

Lemma 3.9 (Jordan decomposition)

For $x \in \text{End}(V)$,

(1) $\exists$ unique $x_s, x_n \in \text{End}(V)$ with x_s semisimple, x_n nilpotent, and x_n, x_s commute, and $x = x_s + x_n$.

(2) $\exists$ polynomials $p(t), q(t)$ with zero constant term such that $x_s = p(x)$, and $x_n = q(x)$. So,

x_s, x_n commute with all endomorphisms that commute with x .

(3) If $U \subseteq W \subseteq V$ and $x(W) \subseteq U$, then $x_s(W) \subseteq U$ and $x_n(W) \subseteq U$.

Lemma 3.11: Let $x = x_s + x_n \in L \subseteq \text{End}(V)$. Then $\text{ad}(x) = \text{ad}(x_s) + \text{ad}(x_n)$
 $\text{ad}(x_s) = \text{semisimple part}$, $\text{ad}(x_n) = \text{nilpotent part}$

Proposition 3.13: Let L be a finite dimensional Lie algebra, $\text{char} K = 0$.

(1) if L is semisimple, then L is a direct sum of nonabelian, simple ideals.

(2) if $0 \neq J$ is an ideal of $L = \bigoplus L_i$, then the ideal is a direct sum of some of the L_i .

(3) if L is a direct sum of nonabelian simple ideals, then L is semisimple.

4 CARTAN SUBALGEBRAS AND WEIGHT DECOMPOSITION

Dfn 4.1:

$L_{\lambda, y} = \{x \in L : (\text{ad}(y) - \lambda I)^r(x) = 0\}$ is the **generalized λ -eigenspace** for $\text{ad}(y)$ ($y \neq 0$).

Lemma 4.2:

(i) $[L_{\lambda, y}, L_{\mu, y}] \subseteq L_{\lambda+\mu, y}$ (ii) $L_{0, y}$ is a Lie subalgebra

Dfn 4.3: A **Cartan subalgebra (CSA)** H of L is nilpotent and self idealising:

$$\{x : [x, H] \subseteq H\} = H$$

Theorem 4.4 (Cartan) [Existence of CSAs]

H is a Cartan subalgebra $\Leftrightarrow H$ is a minimal subalgebra of the form $L_{0, y}$.

All CSAs have the same dimension

Thm 4.6 (not proved here)

Any two CSAs are conjugate under the group of automorphisms of L , which are generated by $e^{\text{ad}(y)} = 1 + \text{ad}(y) + \frac{(\text{ad}(y))^2}{2!} + \dots$ with $\text{ad}(y)$ nilpotent (i.e. finite sum).

Thm 4.7 (not proved here)

The set of regular elements (elements $y \in L$ s.t. $L_{0, y}$ is a CSA) is connected.

I.e. Zariski dense, open subset of L

Theorem 4.8: Let H be a CSA of a semisimple L . Then

① it is a maximal abelian subalgebra

② every element of H is semisimple.

③ The restriction of the Killing form $\langle \cdot, \cdot \rangle_{\text{ad}}$ of L to H is also nondegenerate.

Lemma 4.9: (converse of 4.8). Let H be a maximal abelian subalgebra $\subseteq L$, all of whose elements are semisimple. Then H is a Cartan subalgebra.

Corollary 4.10 (of 4.8): Regular elements of semisimple L are semisimple

$$L_\alpha := \{x \in L : \text{ad}(h)(x) = \alpha(h)(x) \ \forall h \in H\}$$

$\alpha: H \rightarrow \mathbb{C}$ is a linear form.

Dfn 4.11: The **Weight space** or **Cartan decomposition** of semisimple L wrt. CSA H .

$$L = L_0 \oplus \left(\bigoplus_{\alpha \neq 0} L_\alpha \right) \text{ with } L_0 = H$$

The nonzero elements of L_α have **weight** α

The $L_\alpha \neq 0$ are the **weight spaces**

The nonzero weights are called the **roots** of L (wrt H).

Notation: Φ = set of roots

$$m_\alpha = \dim L_\alpha$$

$$\langle \cdot, \cdot \rangle = \text{Killing form}$$

Lemma 4.12

$$\textcircled{a} \ x, y \in H \Rightarrow \langle x, y \rangle = \sum_{\alpha \in \Phi} m_\alpha \alpha(x)\alpha(y)$$

$$\textcircled{b} \ [L_\alpha, L_\beta] \subseteq L_{\alpha+\beta}$$

$$\textcircled{c} \ \langle \cdot, \cdot \rangle \text{ restricted to } H \text{ is non-degenerate}$$

$$\textcircled{d} \ \text{If } \alpha, \beta \text{ weights and if } \alpha + \beta \neq 0, \text{ then } \langle L_\alpha, L_\beta \rangle = 0.$$

$$\textcircled{e} \ \alpha \in \Phi \Rightarrow -\alpha \in \Phi$$

$$\textcircled{f} \ \alpha \text{ weight} \Rightarrow L_\alpha \cap L_{-\alpha} = 0$$

$$\textcircled{g} \ \text{If } 0 \neq h \in H, \text{ then } \alpha(h) \neq 0 \text{ for some } \alpha \in \Phi. \text{ So } \Phi \text{ spans } H^*, \text{ dual space of } H.$$

Dfn 4.13 The α -string through β is the largest arithmetic progression

$$\left(\begin{array}{l} \alpha \text{ is a root,} \\ \beta \text{ is a weight} \end{array} \right) \quad \beta - q\alpha, \dots, \beta, \dots, \beta + p\alpha$$

Lemma 4.14: For $\alpha \in \Phi$, β weight, p, q as above. Then

$$\textcircled{a} \ \beta(x) = - \left(\frac{\sum_{r=-q}^p r m_{\beta+r\alpha}}{\sum_{r=-q}^p m_{\beta+r\alpha}} \right) \alpha(x) \text{ for } x \in [L_\alpha, L_{-\alpha}].$$

$$\textcircled{b} \ \text{if } 0 \neq x \in [L_\alpha, L_{-\alpha}], \text{ then } \alpha(x) \neq 0$$

$$\textcircled{c} \ [L_\alpha, L_{-\alpha}] \neq 0.$$

$$\text{write } \begin{cases} h \in H, h^* \text{ by } h^*(x) = \langle h, x \rangle_{\text{ad}} \\ h\alpha \text{ for the preimage of } \alpha \in H^*. \end{cases}$$

$$\text{Dfn 4.17: } (\alpha, \beta) := \langle h_\alpha, h_\beta \rangle_{\text{ad}} \text{ for } \alpha, \beta \in H^*.$$

$$\text{where } \begin{cases} \langle h_\alpha, x \rangle = \alpha(x) \\ \langle h_\beta, x \rangle = \beta(x) \end{cases} \forall x \in H$$

Lemma 4.19: For $\alpha, \beta \in \Phi$,

$$\textcircled{a} \ \frac{2(\beta, \alpha)}{(\alpha, \alpha)} = \frac{2\langle h_\beta, h_\alpha \rangle}{\langle h_\alpha, h_\alpha \rangle} \in \mathbb{Z}$$

$$\textcircled{b} \ \frac{4 \sum_{r \neq 0} \frac{\langle h_r, h_\alpha \rangle^2}{\langle h_\alpha, h_\alpha \rangle^2}}{\langle h_\alpha, h_\alpha \rangle} = \frac{4}{\langle h_\alpha, h_\alpha \rangle} \in \mathbb{Z}$$

$$\textcircled{c} \ \langle h_\alpha, h_\beta \rangle \in \mathbb{Q} \ \forall \alpha, \beta \in \Phi.$$

$$\textcircled{d} \ \forall \alpha, \beta \in \Phi, \ \beta - 2 \frac{\langle h_\beta, h_\alpha \rangle}{\langle h_\alpha, h_\alpha \rangle} \alpha \in \Phi.$$

5 ROOT SYSTEMS

Dfn 5.1: a subset Φ of a real Euclidean vector space E is a **finite root system** if

- Φ is finite, spanning E and not containing 0.
- for each $\alpha \in \Phi$, there's a **reflection** S_α (preserving the inner product) with $S_\alpha(\alpha) = -\alpha$, the set of fixed points is a hyperplane of E , and S_α preserves Φ .
- for each $\alpha, \beta \in \Phi$, $S_\alpha(\beta) - \beta$ is an integral multiple of α .
- for $\alpha, \beta \in \Phi$, $\frac{2(\beta, \alpha)}{(\alpha, \alpha)} \in \mathbb{Z}$
- $S_\alpha(\beta) = \beta - \frac{2(\beta, \alpha)}{(\alpha, \alpha)} \alpha \quad \forall \beta \in \Phi$

Dfn 5.2: The **rank** of a root system = $\dim E$.

Dfn 5.3: A root system is **reduced** if for each $\alpha \in \Phi$, the only roots proportional to α are $\pm \alpha$.

Dfn 5.4: The **Weyl group** $W(\Phi)$ of a root system is a subgroup of the orthogonal group generated by the reflections S_α , $\alpha \in \Phi$.

Dfn 5.5: for a finite root system, write $n(\beta, \alpha)$ for $\frac{2(\beta, \alpha)}{(\alpha, \alpha)} \in \mathbb{Z}$. Let $|\alpha| = (\alpha, \alpha)^{1/2}$.

Then $(\alpha, \beta) = |\alpha||\beta| \cos \phi$, where ϕ is an angle between α, β .

Then $n(\beta, \alpha) = \frac{2|\beta|}{|\alpha|} \cos \phi$.

$n(\alpha, \beta)$	$n(\beta, \alpha)$	ϕ	Notes
0	0	$\frac{\pi}{2}$	
1	1	$\frac{\pi}{3}$	$ \beta = \alpha $
-1	-1	$\frac{2\pi}{3}$	$ \beta = \alpha $
1	2	$\frac{\pi}{4}$	$ \beta = \sqrt{2} \alpha $
-1	-2	$\frac{3\pi}{4}$	$ \beta = \sqrt{2} \alpha $
1	3	$\frac{\pi}{6}$	$ \beta = \sqrt{3} \alpha $
-1	-3	$\frac{5\pi}{6}$	$ \beta = \sqrt{3} \alpha $

possible reduced root systems

Dfn 5.7: An **isomorphism** of a root system

$(E, \Phi) \rightarrow (E', \Phi')$ is a linear bijection

such that $\phi(\Phi) = \Phi'$

Dfn 5.8: ① The direct sum of two root systems (E, Φ) and (E', Φ') is $(E \oplus E', \Phi \cup \Phi')$

② A root system that is not isomorphic to a direct sum of root systems is called **irreducible**.

Dfn 5.9: if $\alpha \in \Phi$, define the **co-root** $\alpha^\vee = \frac{2}{(\alpha, \alpha)} \alpha$. Thus $(\alpha, \alpha^\vee) = 2$.

Dfn 5.10: A root system is **simply laced** if all the roots are of the same length.

Dfn 5.11: A subset Δ of a root system (E, Φ) is a **base** of Φ if

- Δ is a vector space basis for E
- each $\gamma \in \Phi$ can be written as a linear combination

$$\gamma = \sum_{\alpha \in \Delta} k_\alpha \alpha$$

with coefficients k_α integers and either all ≥ 0 or all ≤ 0 .

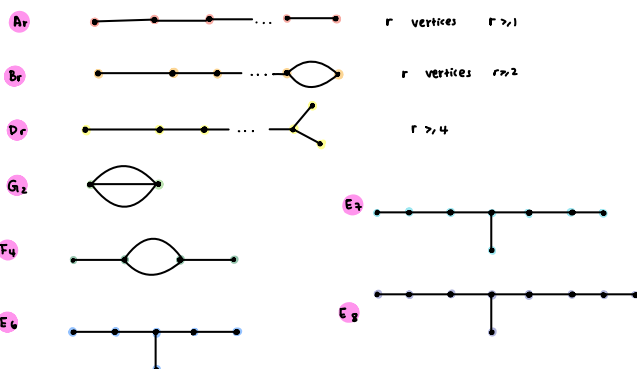
Dfn 5.12: The **Cartan matrix** of a root system wrt. Δ is the matrix $(n(\alpha, \beta))_{\alpha, \beta \in \Delta}$

Dfn 5.13: A **coexterior graph** is a finite graph, each pair of vertices connected by 0, 1, 2 or 3 edges.

Given a root system Φ with base Δ , the coexterior graph of (E, Φ) wrt. Δ has:

- vertices: elements of Δ = simple roots
- vertex α is joined to β for $0, 1, 2, 3$ according to $n(\alpha, \beta)n(\beta, \alpha) = 0, 1, 2, 3$

Theorem 5.14: Every connected, nonempty coexterior graph associated with a root system arising from a semisimple complex Lie algebra is isomorphic to



Arrow pointing towards shorter root.

The graphs with arrows are called **Dynkin diagrams**.

$$\Phi^+(\gamma) = \{ \alpha \in \Phi : (\gamma, \alpha) > 0 \}$$

Let $\gamma \in E$. Then γ **regular** if $\gamma \in E \setminus \bigcup_{\alpha \in \Phi} \alpha$

$$\Rightarrow \Phi = \Phi^+(\gamma) \cup (-\Phi^+(\gamma))$$

$\alpha \in \Phi^+(\gamma)$ is **indecomposable** if not expressible as $\alpha = \alpha_1 + \alpha_2$, $\alpha_1, \alpha_2 \in \Phi^+(\gamma)$, $\alpha_i \neq 0$

$$\Delta(\gamma) = \{ \text{indecomposable elts of } \Phi^+(\gamma) \}$$

Lemma 5.16: $\Delta(\gamma)$ is a base, and every base is of this form.

Lemma 5.17: Δ reduced Φ :

(a) $\alpha, \beta \in \Delta$ distinct, then $(\alpha, \beta) \leq 0 \Rightarrow$ nondiag in Cartan ≤ 0

(b) $\alpha \in \Phi^+$, $\alpha \notin \Delta$, then $\exists \beta \in \Delta$ s.t. $\alpha - \beta \in \Phi^+$

(c) Each $\alpha \in \Phi^+$ is of form $\beta_1 + \dots + \beta_n$, each $\beta_i \in \Delta$

(d) α simple, then α permutes $\Phi^+ \setminus \{\alpha\}$

Lemma 5.18: Δ simple roots

(a) if $\sigma \in \text{GL}(E)$ orthog + $\sigma(\Phi) = \Phi$, then $\sigma S_\alpha \sigma^{-1} = S_{\sigma(\alpha)}$

(b) Let $\alpha_1, \dots, \alpha_t \in \Delta$. Write s_i for S_{α_i} . If $s_t \dots s_2(\alpha_1)$ negative, or equiv.

$s_t \dots s_1(\alpha_1)$ positive, then for some i ,

$$s_t \dots s_1 = s_t \dots \hat{s}_i \dots \hat{s}_1$$

(c) $\sigma = s_t \dots s_1$ expression of an elt in W with t minimal, then $\sigma(\alpha_i)$ is negative.

Lemma 5.19: $W = W(\Phi)$, Φ reduced.

(a) γ regular, then $\exists \sigma \in W$ with $(\sigma(\gamma), \alpha) > 0 \quad \forall \alpha \in \Delta$

$\hookrightarrow W$ permutes bases transitively

(b) $\alpha \in \Phi$, then $\sigma(\alpha) \in \Delta$ for some $\sigma \in W$

(c) $W = \langle S_\alpha \text{ for } \alpha \in \Delta \rangle$

(d) If $\sigma(\Delta) = \Delta$, then $\sigma = 1$.

Theorem 5.20: (not proved here): $W(\Phi) = \langle S_\alpha : S_\alpha^2 = 1, (S_\alpha S_\beta)^{m(\alpha, \beta)} = 1 \rangle$

6 REPRESENTATION THEORY OF SEMISIMPLE COMPLEX LIE ALGEBRAS

Theorem 6.1 (Weyl): Let L be a semisimple, finite dimensional Lie algebra, $\text{char } k = 0$. Then all finite dimensional representations are a direct sum of irreducible ones.

Definition 6.2 A representation is **completely reducible** if it is such a direct sum

Lemma 6.3: The following are equivalent:

- all finite dimensional representations are completely irreducible.
- whenever $\rho: L \rightarrow \text{End}(V)$ with $W \subseteq V$ and $\dim(V/W) = 1$, and $\rho(L)(V) \subseteq W$ (i.e. W is invariant), then there is a W' with $V = W \oplus W'$ and $\rho(L)(W') \subseteq W'$.
- The same as (ii) but with the restriction of ρ to W , $\rho_W: L \rightarrow \text{End}(W)$, is irreducible.

Casimir element of representation ρ $C = \sum_i \rho(x_i) \rho(y_i) \in \text{End}(V)$.

Lemma 6.4 $[C, \rho(x)] = 0 \quad \forall x \in L$. (commute in $\text{End}(V)$).

Universal Enveloping Algebra

Dfn 6.5: $U(L)$ is the associative algebra with generators $X \in L$ and

$$\text{relations } \begin{cases} XY - YX = [X, Y] & \text{for } X, Y \in L \\ \text{Commutator of } X, Y \text{ in } \\ \text{enveloping algebra} \end{cases}$$

Theorem 6.6 (Poincaré-Birkhoff-Witt PBW): $U(L)$ has a basis as a $\mathbb{C}$ -vector space $\{X_1^{m_1} X_2^{m_2} \dots X_n^{m_n} : m_i \in \mathbb{Z}_{\geq 0}\}$ where $X_1, \dots, X_n$ is a basis.

$$\left\{ \rho: L \rightarrow \text{End}(V) \right\} \longleftrightarrow \left\{ \bar{\rho}: U(L) \rightarrow \text{End}(V) \right\}$$

representations $\bar{\rho}$ is a $U(L)$ -module

Dfn 6.7: Let $V_\omega = \{v \in V : \rho(h)(v) = \omega(h)v \ \forall h \in \mathfrak{h}\}$ be the weight space of weight ω , where $\omega \in \mathfrak{h}^*$.

Lemma 6.8

- $\rho(L_\alpha)V_\omega \subseteq V_{\omega+\alpha}$ if $\omega \in \mathfrak{h}^*$, $\alpha \in \Phi$
- The sum of the V_ω is direct and is invariant under $\rho(L)$.
- (assuming L is semisimple) if $\dim(V) < \infty$ then $V =$ direct sum of weight spaces.

$$N = \sum_{\alpha \in \Phi^+} L_\alpha, \text{ and } N^- = \sum_{\alpha \in \Phi^-} L_{-\alpha} \quad L = N^- \oplus \mathfrak{h} \oplus N = N^- \oplus B$$

$$B = \mathfrak{h} \oplus N$$

Dfn 6.9: v is a primitive element of weight ω if it satisfies

- $v \neq 0$, has weight ω ($\omega \in \mathfrak{h}^*$)
- $\rho(N)(v) = 0$

Proposition 6.10 Let v be a primitive element of weight ω , and let $W = \rho(L)v$. Then

- W is spanned by $\rho(y_1)^{m_1} \dots \rho(y_n)^{m_n}(v)$, where the p_i are the distinct +ve roots and $m_i \in \mathbb{Z}_{\geq 0}$
- the weights of W are of the form $\omega + \sum_{i=1}^n p_i a_i$, where $\{a_1, \dots, a_n\}$ is a base with $p_i \in \mathbb{Z}_{\geq 0}$, and they have finite multiplicity (weight spaces are finite dim)
- ω has multiplicity 1, and the weight space in W of weight $\omega = \langle v \rangle$.
- $\rho_W: L \rightarrow \text{End}(W)$ is indecomposable. I.e. W cannot be expressed nontrivially in the form of a direct sum $W_1 \oplus W_2$, with W_i invariant.

Theorem 6.11: Let V be a simple $U(L)$ -module (ρ is an irreducible representation) and suppose V contains a primitive element v of weight ω .

- v is the only primitive element of V up to scalar multiplication.
- The weights of V have the form $\omega - \sum p_i \alpha_i$ with $p_i \in \mathbb{Z}_{\geq 0}$. They have finite multiplicities, and ω has multiplicity 1, and V is a sum of the weight spaces.
- For two simple modules V_1 and V_2 , with primitive elements v_1 and v_2 of weight ω_1 and ω_2 respectively, then $V_1 \cong V_2$ iff $\omega_1 = \omega_2$.

Dfn 6.12: The weight ω of the primitive element V is known as the highest weight.

Theorem 6.13: For each $\omega \in \mathfrak{h}^*$ there is a simple $U(L)$ -module of highest weight ω .

FINITE DIMENSIONAL ASSOCIATIVE ALGEBRAS

Dfn 7.1: R is a simple (associative) algebra if its only two-sided ideals are 0 and R .

Dfn 7.2: The Jacobson radical $J(R) = \bigcap \{\text{maximal proper right ideals}\}$

Note: I is a maximal right ideal $\Leftrightarrow R/I$ is a simple right R -module.

$$\text{Ann}_R(M) = \{r \in R : mr = 0\}$$

$$J(R) = \bigcap_{M \text{ simple right modules}} \text{Ann}_R(M) = \text{2-sided ideal.}$$

Lemma 7.2 (Nakayama)

The following are equivalent: for a right ideal I ,

- $I \subseteq J(R)$
- If M is a f.g. R -module and $N \subseteq M$ w/ $N + MI = M$, then $N = M$
- $\{1+x : x \in I\} = G_I$ is a subgroup of the unit group of R (R^*)

Dfn 7.3: R is semisimple if $J(R) = 0$.

Lemma 7.4: Let R be a semisimple, fin dim (ass) algebra.

Then R is the direct sum of finitely many simple (right) R -modules.

Lemma 7.5: let R be semisimple, M any nonzero, fin dim R module, then M is a direct sum of simple modules

$\hookrightarrow$ a quotient of $R \oplus \dots \oplus R \rightarrow M$ simple then a quotient of R .

Definition 7.6: M is **completely reducible** (semisimple) if it can be written as a direct sum of simple R -modules.

Definition 7.7: The **socle** of a fin dim R module M : $\text{soc}(M) := \sum \{\text{min. nonzero submodules of } M\}$

Lemma 7.8: $\text{soc}(M) = \{m \in M : mJ(R) = 0\}$

Definition 7.9: The socle series of M : $0 \subseteq \text{soc}_0(M) \subseteq \text{soc}_1(M) \subseteq \dots$

where
$$\text{soc}_i(M) / \text{soc}_{i-1}(M) = \text{soc}(M / \text{soc}_{i-1}(M))$$

Remark: 1) The series must terminate at M .
2) $\text{soc}_i(M) = \{m \in M : mJ^i = 0\}$

Proposition 7.10: Let R be a fin dim (ass) algebra. Then $J(R)$ is nilpotent (i.e. $\exists m \in \mathbb{Z}_{\geq 0}$ s.t. $J^m = 0$).

Lemma 7.11 (Schur's Lemma)

Let S be a simple right R -module. Then $\text{End}_R(S)$ is a division ring. If S_1, S_2 are non-iso simple R -modules, then $\text{Hom}_R(S_1, S_2) = \{0\}$.

Lemma 7.12: Regarding R as a right R -module (R_R), then $\text{End}(R_R) \cong R$ via multiplication on the left by elements of R .

$$\begin{aligned} \text{End}(R_R) &\leftarrow R, & \phi &\mapsto \phi(1) \\ R &\rightarrow \text{End}(R), & r &\mapsto r \cdot (R \rightarrow R) : r \cdot x = rx \\ \phi(1) &\mapsto \phi(1) \cdot x = \phi(x). \end{aligned}$$

Theorem 7.13 (Artin-Wedderburn) Let R be a semisimple fin dim ass algebra over field K . Then $R = \bigoplus_{i=1}^r R_i$, where $R_i = M_{n_i}(D_i)$ for fin dim div algebra D_i ,

- R_i are uniquely determined.
- R has exactly r iso classes of simple right modules S_i ,
- $\dim D_i(S_i) = n_i$

if K is algebraically closed then $D_i = K \ \forall i$.

$\mathbb{C}G$ is the direct sum of matrix algebras over $\mathbb{C}$, where the number of matrix algebras is equal to the number of simple modules up to iso.

Corollary 7.14: if G is a finite group, $\mathbb{Z}(\mathbb{C}G)$ is an r -dim $\mathbb{C}$ -v.s., and

- $r = \#$ of isomorphism classes of simple modules
- $= \#$ of conjugacy classes.

3 QUIVERS

Definition 8.1: A quiver Q is a directed (multi)-graph. no restriction on # of arrows between i and j . We also allow loops $\circlearrowright$

Definition 8.2: A representation M of Q is a direct sum of vector spaces $\oplus M_i$, where i is the label of vertices, together with linear maps $\phi_x: M_i \rightarrow M_j$ for each arrow $i \xrightarrow{x} j$

Definition 8.3: A morphism of representations is a collection of linear maps $M_i \rightarrow M'_i$ which commute with the linear maps representing the edges.

Definition 8.4: A path of length $\ell > 1$ is a concatenation of ℓ compatible arrows.

Definition 8.5: The path algebra kQ is a k -v.s. with basis given by the paths, and the multiplication is given by concatenation of compatible paths. If two paths are incompatible, then their product is zero.

Lemma 8.6:

- kQ is finite dimensional $\Leftrightarrow Q$ is finite and it contains no directed cycles
- If Q is finite, then kQ is finitely generated.

Suppose M is a representation of our quiver Q : $M = \oplus M_i$ and if $\exists$ an edge $i \xrightarrow{x} j$, then x acts on M_i by applying ϕ_x .

Thus $\oplus M_i$ can be thought of as a kQ -module. We get a correspondence

$$\{kQ\text{-modules}\} \longleftrightarrow \{\text{representations of } Q\}$$

$$S_i \longleftrightarrow \text{representation} := \begin{cases} k & \text{at vertex } i \\ 0 & \text{otherwise} \end{cases} + \text{all maps are zero.}$$

Example: Q finite, no directed cycles, and simple modules as described example.

Then these S_i are the only simple modules of kQ .

Definition 8.7: An algebra R has finite representation type if there are only finitely many indecomposable modules (up to isomorphism).

Theorem 8.8 (Gabriel, 1972): let k be an algebraically closed field. A connected quiver has a path algebra of finite representation type if and only if its underlying graph (ignoring directions) is of type A_r ($r \geq 1$), D_r ($r \geq 4$), E_6 , E_7 , E_8 (the simply laced Coxeter graphs).

- Remarks**
- this is independent of the direction of the arrows.
 - If we drop the algebraically closed restriction, we can get other Coxeter graphs, e.g. B_r , C_r , F_4 , G_2 .
 - the more general theorem is a classification of pos-def Coxeter graphs.

Given a Coxeter graph, we can define a symmetric bilinear form on the $\mathbb{R}$ -span of the vertices $v_1, \dots, v_n$ (say), which form a basis for this vector space.

$$Q_{ij} = \begin{cases} 2 & \text{if } i=j \\ -\# \text{ edges } & \text{if } i \neq j \end{cases}$$

where $\# \text{ edges} = \#$ of edges connecting the two vertices

If a Coxeter graph arises from a root system, say $\Delta = \{\alpha_1, \dots, \alpha_r\}$ of root system Φ , then

$$Q_{ij} = \frac{2(\alpha_i, \alpha_j)}{|\alpha_i| |\alpha_j|}$$

symmetrised version of the Cartan matrix

Note that this matrix is the same as the one representing the inner product wrt basis $\{\frac{\alpha_i}{|\alpha_i|}, \alpha_i \in \Delta\}$. This matrix is therefore positive definite

Definition 8.9: A Coxeter graph is positive definite if $[Q_{ij}]$ is positive definite.

Lemma 8.9: A connected positive definite Coxeter graph with r vertices has that the number of pairs of vertices joined by at least one edge $= r-1$.

Definition 8.10: The dimension vector of a representation.

$$\sum (\dim M_i) v_i \in \mathbb{R}^r \quad i = \text{vertices, } v_1, \dots, v_r \text{ basis } \subseteq \mathbb{R}^r$$

Theorem 8.12 (Gabriel) Q quiver, underlying Coxeter graph which is simply laced + positive definite. i.e. A_r , D_r , E_6 , E_7 , E_8 . Then

$$\left\{ \begin{array}{l} \text{Isomorphism classes of finite} \\ \text{dimensional indecomposable} \\ \text{representations of } Q. \end{array} \right\} \longleftrightarrow \{\text{positive roots in } \mathbb{R}^r\}$$

dimension vectors $\sum k_i v_i \longleftrightarrow \sum k_i \alpha_i$ α_i simple roots.

Thus kQ has finite representation type.

Lemma 8.16: For a standardised quiver Q

- $1 \leq j < r$, j is a sink and $j+1$ is a source of $S_j S_{j-1} \dots S_2 S_1 Q$
- $1 < j \leq r$, j is a source and $j-1$ is a sink of $S_j S_{j+1} \dots S_r Q$
- $S_1 S_2 \dots S_r Q = S_r S_{r-1} \dots S_1 Q = Q$

Definition 8.17: numbering of vertices is admissible if for each j , j is a sink of $S_{j+1} S_{j+2} \dots S_r Q$

Lemma 8.18: There is an admissible numbering for the vertices of Q iff has no directed cycles.

Exercise: Q and Q' With same underlying graph a tree, then $\exists j_1, \dots, j_r$ Such that $S_{j_1} \dots S_{j_r} Q = Q'$.

Definition 8.19 We define functors $S_j^+: Q\text{-representations} \rightarrow S_j Q\text{-representations}$

$S_j^-: S_j Q\text{-representations} \rightarrow Q\text{-representations}$

Given a representation of Q , V , let $S_j^+(V) = W$ where $W_i = V_i$ if $i \neq j$ and $W_j = \ker$ of $\phi = \oplus$ of maps representing the arrows with target j

The functor S_j^- is the dual of this. Given a representation W of $S_j Q$, let $V_i = W_i$ if $i \neq j$. Set $V_j = \ker$ of Σ of maps representing arrows with source in $S_j Q$.

8.20 Lemma: S_j^- and S_j^+ give a bijection between

$$\left\{ \begin{array}{l} \text{indecomposable rep}^{\mathbb{R}} \\ \text{of } Q \neq \text{irreducible} \\ \text{rep}^{\mathbb{R}} \text{ of dimension } 1 \\ \text{concentrated at vertex } j \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{Indecomposable rep}^{\mathbb{R}} \\ \text{of } S_j Q \neq \text{irreducible} \\ 1\text{-dim rep}^{\mathbb{R}} \text{ concentrated} \\ \text{at vertex } j \end{array} \right\}$$

8.21 Corollary: kQ has finite $\text{rep}^{\mathbb{R}}$ type iff $kS_j Q$ has finite $\text{rep}^{\mathbb{R}}$ type

Definition 8.22: A Coxeter element c of the Weyl group $\tilde{\Phi}(\Phi)$ is a product of each simple reflections exactly once, in any order.

Coxeter elts are not unique, but are conjugate $\rightarrow$ have same order $h \rightarrow$ "cox. number"

$$h = \frac{\# \text{ roots}}{\text{rank } r}, \text{ so } \dim \text{ Lie alg} = \dim(\mathfrak{h}) + \# \text{ roots} = r + hr = (h+1)r$$

Definition 8.23:

$1, \dots, r$ an admissible numbering of Q . The Coxeter functor wrt this numbering is

$$\mathcal{C}^+ := S_1^+ \dots S_r^+ : \text{repns of } Q \rightarrow \text{repns of } Q$$

$$\mathcal{C}^- := S_r^- \dots S_1^- : \text{repns of } Q \rightarrow \text{repns of } Q$$

Lemma 8.24: Given an indecomposable representation V of Q , either

- $\mathcal{C}^- \mathcal{C}^+(V) = V$, or
- $\mathcal{C}^+(V) = 0$

If L is nilpotent and nonzero, then $Z(L) \neq 0$.

By assumption, $L^c = 0$ for some integer c . Since L is nonzero, $c \neq 1$. So $c \geq 2$

$$\Rightarrow [L^{c-1}, L] = 0 \text{ for some } c \geq 2.$$

L^{c-1} is an ideal of L , and pairs with every element in L to zero, so

$$L^{c-1} \subset Z(L).$$